| FC:1501 300.00 0P FC:1504 300.00 0P FC:1504 300.00 0P FC:1504 300.00 0P STRING DATE FRST NAMED INVENTIOR ATTORNEY DOCKET NO. CONFIRMATION 09/975,690 10/11/2001 William W. Lee NY-THEOR 203.1-US 2373 TITLE OF INVENTION: SMART GENERATOR  APPLN TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DU nonprovisional NO \$1440 \$300 \$0 \$1740 06/11/200  EXAMINER ART UNIT CLASS-SUBCLASS CHOUDHURY, AZIZUL Q 2145 717-104000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | l where             |
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| DNSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be complete appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be middled to the current correspondence and indicated unless corrected below or directed otherwise in Block 1, by a Specifyster a new correspondence address, and/or (b) indicating a separate "FEE ADDNA maintenance fees will be middled to the current correspondence and maintenance fees will be middled to the current correspondence and maintenance fees will be maintenance fees will be mailed to the current correspondence and maintenance fees will be mailed to the current correspondence and maintenance fees will be mailed to the current correspondence and the current cor | l where<br>lress as |
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| FULBRIGHT & JAWORSKJ, LLP  666 FFTH AVB  NEW YORK, NY 10103-3198  7/11/2008 SSESHE2 00000074 09975690  FC:1501  1440.00 0P  FC:1504  300.00 0P  FC:1504  300.00 0P  FC:1504  300.00 0P  TRIST NAMED INVENTOR  APPLICATION NO. FILING DATE  O9975,690  10/11/2001  TITLE OF INVENTION: SMART GENERATOR  FIRST NAMED INVENTOR  APPLIN TYPE  SMALL ENTITY  ISSUE FEE DUE  PUBLICATION FEE DUE  PUBLICATION FEE DUE  PUBLICATION FEE DUE  PREV. PAID ISSUE FEE  TOTAL FEE(S) DUE  DATE DU  DATE DU  EXAMINER  ART UNIT  CLASS-SUBCLASS  CHOOLDHURY, AZIZUL Q  2145  717-104000  1. Change of correspondence address or indication of "Fee Address" (37)  1. Change of correspondence address or indication of "Fee Address" (37)  (1) the names of up to 3 registered parent attorneys  1 Full bright & Jawo.  1 Full bright & Jawo.  1 Full bright & Jawo.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | of the              |
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| FC:1501 300.00 OP JUNIE 11, 2008  FC:1504 300.00 OP JUNIE 11, 2008  FO:1504 11, 2008  FULING DATE DUE PUBLICATION NO. ATTORNEY DOCKET NO. CONFIRMATION OP/975,690 10/11/2001  TITLE OF INVENTION: SMART GENERATOR  AFPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$300 \$0 \$1740 06/11/200  EXAMINER ART UNIT CLASS-SUBCLASS  CHOUDHURY, AZIZUL Q 2145 717-104000  1. Change of correspondence address or indication of "Fee Address" (37 (1) the names of up to 3 registered parent attorneys 1 Fullbright & Jawo (1) the names of up to 3 registered parent attorneys 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | n's nathe)          |
| FC: 1304  FC: 900 (1)  FILING DATE  FIRST NAMED INVENTOR  William W. Lee  NY-THEOR 203.1-US  2373  TITLE OF INVENTION: SMART GENERATOR   APPLN. TYPE SMALL ENTITY ISSUE FEE DUE  ROOPFOVISIONAL NO  \$1440 \$300 \$0 \$1740 06/11/200  EXAMINER  ART UNIT  CLASS-SUBCLASS  CHOUDHURY, AZUZUL Q  2145  717-104000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  (1) the names of up to 3 registered patent attorneys  I Fulbright & Jawo  (1) the names of up to 3 registered patent attorneys                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Signature)          |
| O9/975,690 10/11/2001 William W. Lee NY-THEOR 203.1-US 2373 TITLE OF INVENTION: SMART GENERATOR  APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$300 \$0 \$1740 06/11/200  EXAMINER ART UNIT CLASS-SUBCLASS  CHOUDHURY, AZIZUL Q 2145 717-104000  1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  (1) the names of up to 3 registered patent attorneys 1 Fulbright & Jawo                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | (Date)              |
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| CHOUDHURY, AZIZUL Q 2145 717-104000  1. Change of correspondence address or indication of "Fee Address" (37 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys  1 Fulbright & Jawo                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | )8                  |
| 1. Change of correspondence address or indication of "Fee Address" (37  2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys  1 Fulbright & Jawo                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                     |
| CFR 1.363). (1) the names of up to 3 registered patent attorneys 1 Fulbright & Jawo                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                     |
| Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  The Address indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  Or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <u></u>             |
| 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE FATENT (print or type).  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | filed for           |
| (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |
| BEA::SYSTEMS, Inc. San Jose, California                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | · . ·•              |
| Please-check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🖾 Corporation or other private group entity 🔘 Go                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | remment             |
| 4a. The following fee(s) are submitted:  4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  A check is enclosed.  Expanse for PTO-2038 is attached.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | any                 |
| 5 Change in Entity Status (from status indicated above)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                     |
| A A - 12 A claims SMALL ENAMY Status, See 37 CPR 1 27. Lb. Applicant is no longer claiming SMALL ENAMY Status, See 37 CPR 1.27(8/2).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | -:                  |
| NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent; or the assignce or other interest as shown by the records of the United States Patent and Trademark Office.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                     |
| Authorized Signature June 11, 2008                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                     |
| Typed or printed pages C. Andrew Im Registration No. 40,657                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                     |
| This collection of information is required by 37 CFR 1 311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparamenting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to submitting the completed application for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Alexandria, Virginia 22313-1450.  Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | r party in          |

THEOR 203.1 US (101074320

## IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

Applicant(s):

William W. Lee et al.

Fax: 2123183400

Serial Number:

09/975,690

Filed:

October 11, 2001

For:

SMART GENERATOR

Art Unit:

2145

Examiner: A. Q. CHOUDHURY

Class-Subclass:

717-104000

Confirmation No. 2373

Mail Stop: Issue Fee Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 ...

## TRANSMITTAL LETTER

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Enclosed for filing are: Fee amount

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\$ 300.00

Publication Fee

Assignment and fee

Supplemental Declaration - no charge

Priority Document(s) - no charge

Number

Number

Advance Order (3 copies)

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Payment by credit card. Form PTO-2038 is attached. The Commissioner is hereby authorize to charge any additional fee or credit any overpayment to Deposit Account No. 50-0624.

I hereby certify that this Issue Fee Transmittal is being facsimiled to fax no. 571-273-2885 of the Mail Stop: Issue Fee, Commissioner for Patents on June 11, 2008 by Fani <u>Malikouzakis</u>

Respectfully yours,

C. Andrew Im

Reg. No. 40,657

666 Fifth Avenue New York, NY 10103 (212) 318-3000